

## WARBURTON GLOBAL FUND

### TRUST/SUPERANNUATION FUND WITH CORPORATE TRUSTEE APPLICATION

This application form is given solely to the recipient of the Information Memorandum issued by Primary Securities Ltd ABN 96 089 812 635 in its capacity as trustee for the Warburton Global Fund.

Unless otherwise defined, capitalised terms used in this Application Form have the same meaning given to them in the Information Memorandum.

Quotation of your Tax File Number (TFN) or Australian Business Number (ABN) is not compulsory and will not affect your registration. However, if you do not provide this information we will be required to deduct tax from your distributions at the highest marginal rate. If exempt, please supply supporting documentation.

**Note: the Fund is not open to investors in the USA or any of its territories or protectorates**

### Trustee Details

Full Company Name

Tax File Number or Exemption Code

Country of Residence for Tax Purposes (if not Australia)

Registration Number ACN, ABN, ARBN (if registered with ASIC) or other Registration Number

Registration Body (ASIC or name of Foreign Registration Body)

Name of Regulator (if licenced by an Australian Commonwealth, State or Territory statutory regulator (ie an Australian Credit Licensee)

Licence details

Address of Registered Office (P.O. Box is NOT acceptable)

Principal place of business in if different from registered office address (P.O. Box is NOT acceptable)

**If an Australian Company registration status with ASIC**

Proprietary Company

Public Company

**If a Foreign Company registration status with the relevant foreign registration body**

- Private Company
- Public Company
- Other – please specify

**If a public listed company or a majority-owned subsidiary of a public listed company**

Name of Market / Exchange

Listed Holding Company Name (if subsidiary)

**If the company is an ASIC registered proprietary company and not listed on an exchange or a private company registered by a foreign registration body please list the name of each director of the company.**

Insert Full Name of Director

If more than 4 directors, please complete on a separate page and attach to this Client Registration Form.

**If the company is an ASIC registered proprietary company, not listed on an exchange and not regulated or a private company registered by a foreign registration body please provide details for each Beneficial Owner having more than 25% of the company's issued share capital through direct or indirect shareholdings or those individuals who directly or indirectly control the company. If no such person can be identified please provide details of the most senior managing officials of the company.**

**Beneficiary 1**

Surname

Given Name(s)

Residential Address (PO Box is NOT acceptable)

**Beneficiary 2**

Surname

Given Name(s)

Residential Address (P.O. Box is NOT acceptable)

If more than two (2) Shareholders, please complete on a separate page and attach to this Application Form.

**TRUST DETAILS**

Full Name of Trust

Country of Registration / Establishment

Country of Residence for Tax Purposes (if not Australia)

Trust Tax File Number or Exemption Code

Australian Business Number (if any)

**Type of Trust**

Registered Managed Investment Scheme

ARSN

Unregistered Managed Investment Scheme

Regulated Trust (e.g. self managed super fund)

Name of Regulator (e.g. APRA, ATO)

Registration Details / Number

Government Superannuation Fund

Name of Legislation Establishing Fund

Foreign Superannuation Fund

Name of Regulator

Registration Details / Number

Any Other Trust

Trust Description (e.g. Family, Unit Trust, Estate)

**Beneficiary Details** (only to be provided if 'Any Other Trust')

Do the terms of the trust identify the beneficiaries by reference to membership of a class?

**Yes**

Details of Membership of Class/es (e.g. Family Members of Named Person, Unit Holders)

**No**

Provide name of each beneficiary. If insufficient room, attach a separate sheet.

<b>Insert Full Name of Each Beneficiary</b>

**Politically Exposed Persons**

Are any of the beneficial owners detailed on this form a politically exposed person or organisation.

Yes  No

If Yes, please provide details.

“politically exposed person” means an individual who holds a prominent public position or function in a government body or an international organisation or who is an immediate family member or close associate of such a person.

**Investment Amount**

Initial Investment (Minimum \$50,000)

\$	
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**Funds are to be paid in to the following bank account no later than the third last business day of the month**

<b>Bank:</b>	Westpac Bank		
<b>Account Name:</b>	Primary Securities Ltd atf Warburton Global Fund Applications Account		
<b>BSB:</b>	036 048	<b>Account Number:</b>	431867
<b>Reference</b>	Your Investment Name		

Date Funds are being transferred:

**Distributions**

Any net income distributions are generally reinvested for you into new units in the Fund. Please contact Primary Securities Ltd by email to [registry@primarysecurities.com.au](mailto:registry@primarysecurities.com.au) should you wish to have your distributions paid to your nominated bank account instead.

**Banking Details**

Please specify the bank account details of the applicant below:

<b>Bank:</b>			
<b>Account Name</b> (must be the same as the account holders name):			
<b>BSB:</b>		<b>Account Number:</b>	

**Contact and Communication**

Contact Name

Postal Address (only if different from Section 2)

Telephone Number

Email Address

**Declaration and Signature**

We declare and agree that:

1. the details given in this application are true and correct;
2. information will be collected and used in accordance with Primary Securities Ltd's privacy policy;
3. we have read and understood the current Information Memorandum to which this application relates and agree to be bound by the Trust Deed governing the Fund;
4. we have provided a Wholesale Investor certificate should our investment be less than A\$500,000 or we are a professional investor;
5. we are not aware of and have no reason to suspect that the moneys used to fund our investment have been or will be derived from or related to any money laundering, terrorism financing or similar illegal activities and that we will continue to comply with Australian anti money laundering and counter terrorism financing laws.
6. we acknowledge that the Fund is subject to investment risks, which could include delays in repayment, and loss of income and capital invested and that no member of Primary Securities Ltd, or any of its agents guarantees the performance of the Fund or any particular rate of return;

If signed under power of attorney, the attorney encloses a certified copy of the power of attorney and declares that he/she has not received notice of revocation of that power.

Signature 1:

Tick capacity

- Director  Secretary  Sole Director

Date

Signature 2:

Tick capacity

- Director  Secretary  Sole Director

Date

### **Attachment 1 Identification**

All applicants must provide certified copies of identification. Details of the documents required and details of persons authorised to certify documents can be located on the How to Invest section of the website for the Fund - <https://warburtoninvestment.com/how-to-invest/>

### **Attachment 2 FATCA/CRS Self Certification Form**

This form must be completed as part of the registration process. This form can be located on the How to Invest section of the website for the Fund - <https://warburtoninvestment.com/how-to-invest/>

### **Submission of Application Form**

Please then send to [clients@warburtoninvestment.com](mailto:clients@warburtoninvestment.com)

- the completed Application Form
- certified copies of identification
- FATCA/CRS Self Certification Form